



09/943,318

cket No. RD-28249

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James N. Cawse

Group Art Unit: 2171

Serial No.: 09/943,318

Examiner:

Filed: August 31, 2001

For METHOD AND SYSTEM FOR SELECTING A  
BEST CASE SET OF FACTORS FOR A  
CHEMICAL REACTION

RECEIVED

AUG 26 2002

Technology Center 2100

COPY OF PAPERS  
ORIGINALLY FILED

9/15/02

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT

RECEIVED

SEP 11 2002

☒ 1 Pursuant to 37 CFR 1.97(b)

[within 3 months of national, non-CPA filing or prior to 1st Office Action] no charge

☐ 2 Certification Pursuant to 37 CFR 1.97(c)(1)

[before Final Office Action, Allowance, or other action closing prosecution] no charge

☐ 3 Fee Payment Pursuant to 37 CFR 1.97(c)(2)

[before Final Office Action, Allowance, or other action closing prosecution] \$180.00

☐ 4 Certification & Fee Payment Pursuant to 37 CFR 1.97(d)

[On or before issue fee payment] \$180.00

TECH CENTER 1600/2900

ATTN: BOX PATENT APPLICATION  
Honorable Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 37 CFR 1.98:

- ☒ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

Date of Deposit

Type or Print Name

Signature

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ 8 The required Certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- ☐ 10 37 CFR §1.97(d): [On or before issue fee payment]; and
- a) The required Certification is stated in item 11 below; and
- b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 11 Certification
- ☐ 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ 14 Payment of all applicable fees:
- ☒ 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 07-0868. An original and two (2) copies of this document are enclosed.

Respectfully submitted,

\_\_\_\_\_  
Attorney - Noreen C. Johnson  
Registration No. 38,929  
Phone: (518) 387-7863  
or (518) 387-7122

\_\_\_\_\_  
Date

General Electric Company  
CRD Patent Docket Rm 4A59  
P.O. Box 8, Bldg. K-1  
Schenectady, New York 12301  
Custom r Number: 006147

FORM PTO-1449 (REV. 7-80) (Title Amended 3/83)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. <b>RD-28249</b>	SERIAL NO. <b>09/943,318</b>
INFORMATION DISCLOSURE STATEMENT BY APPLICANT-- <b>LIST OF ITEMS</b> (Use several sheets if necessary)		Applicant <b>James N. Cawse</b>	Filing Date <b>08/31/01</b>
		Group <b>2171</b>	

ORIGINAL FILED

U.S. PATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					

RECEIVED

AUG 26 2002

Technology Center 2100

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	No
	AL						
	AM						
	AN						
	AO						
	AP						

RECEIVED

SEP 11 2002

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages, Etc.)

TECH CENTER 1600/2900

AR	Wolf et al., "An Evolutionary Approach in the Combinatorial Selection and Optimization of Catalytic Materials", Applied Catalysis A: General 200, 63-77 (2000)
AS	
AT	
EXAMINER	DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to applicant.